

Circular No.: NSDL/CIR/II/51/2020

Date: December 24, 2020

Subject: Facility for submission of Compliance Certificate in electronic form through e-PASS.

Attention of RTAs/ Issuers having direct electronic connectivity with NSDL is invited to Circular No. NSDL/CIR/II/25/2020 dated July 06, 2020 regarding submission of half yearly Compliance Certificate by RTAs/ Issuers having direct electronic connectivity in prescribed format by January 31 and July 31 of every year.

In this context, RTAs/ Issuers having direct electronic connectivity are hereby informed that NSDL has developed a facility for online submission of Compliance Certificate in electronic form through e-PASS platform. Accordingly, RTAs/ Issuers having direct electronic connectivity are requested to take note to submit Compliance Certificate for half year ended December 31, 2020 and onwards through e-PASS platform only.

The Compliance Officer will be required to submit the digitally signed Compliance Certificate online through e-PASS platform using its login credentials, the detailed procedure of which is enclosed at **Annexure A**.

For further information / assistance, RTAs/Issuers connected to NSDL are requested to contact Mr. Vikram Guntuka, Tel: (022) 2499 4857 / vikramg@nsdl.co.in and for technical queries, RTAs/Issuers connected to NSDL are requested to contact Ms. Harshada Pansare, Tel: (022) 2499 4119/ harshadap@nsdl.co.in or Ms. Snehal Patil, Tel: (022) 4216 5572

RTAs/Issuers connected to NSDL are advised to take note of the above and ensure compliance.

For and on behalf of
National Securities Depository Limited

Rakesh Mehta
Vice President

Enclosed: One